

6. NOMINATION DETAILS* (Refer Sr no. 4 of the instructions)

A. The nomination shall be in favor of one or more persons belonging to his/her family. For nominating more than one person, submit Annexure III
 B. A fresh nomination shall be made by the subscriber on his/her marriage.

Nominee Name

F	i	r	s	t							M	i	d	d	e								L	a	s	t					
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Relationship Age Date of Birth (In case of Minor)

D	D	M	M	Y	Y	Y	Y
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Name of Guardian (if nominee is a minor)

F	i	r	s	t							M	i	d	d	e								L	a	s	t					
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7. SELECTION OF PENSION FUND (PF) AND INVESTMENT CHOICE* (Refer Sr no. 5 of the instructions)

1. Maximum equity allocation under active choice is restricted after 50 years of age. Refer instructions carefully before allocating percentage share in equity.
 2. All Citizen: Selection of one PF is mandatory else form will be rejected. If no investment choice is selected, funds will be invested in Auto Choice (LC50).
 3. Corporate Model: The PF / Investment Choice may be exercised in consultation with your Employer.

Pension Fund* (Please Tick (✓) one)	Investment Choice (Please Tick (✓) one)										
<input type="checkbox"/> Aditya Birla Sunlife Pension Mgmt Ltd <input type="checkbox"/> HDFC Pension Mgmt Co Ltd <input type="checkbox"/> Kotak Mahindra Pension Fund Ltd <input type="checkbox"/> MAX Life Pension Fund Mangement Ltd <input type="checkbox"/> Tata Pension Management Ltd	<input type="checkbox"/> Axis Pension Fund Mgmt. Ltd. <input type="checkbox"/> ICICI Prudential Pension Funds Mgmt.Co. Ltd. <input type="checkbox"/> LIC Pension Fund Ltd <input type="checkbox"/> SBI Pension Funds Private Ltd <input type="checkbox"/> UTI Retirement Solutions Ltd										
Active Choice mention the % share in each asset class below											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>E (Upto 75%)</th> <th>C (Upto 100%)</th> <th>G (Upto 100%)</th> <th>A (Upto 5%)</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>% Equity</td> <td>% Corp Bonds</td> <td>% Govt Sec</td> <td>% All Assets</td> <td>100%</td> </tr> </tbody> </table>		E (Upto 75%)	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total	% Equity	% Corp Bonds	% Govt Sec	% All Assets	100%
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% Equity	% Corp Bonds	% Govt Sec	% All Assets	100%							
OR											
<input type="checkbox"/> Auto Choice select one life cycle fund below Conservative(LC25) <input type="checkbox"/> Moderate (LC50) <input type="checkbox"/> Aggressive(LC75) <input type="checkbox"/>											

8. Activate my Tier- II account (Please tick (✓) to activate) (Refer Sr no 7 of instructions) Providing PAN is mandatory

With the same bank, nominee & investment details With different bank/nominee/investment details as per Annexure IV

9. FATCA* (Foreign Account Tax Compliance Act) & CRS DECLARATION (Refer Sr no.6 of the instructions):

I am a tax resident of India and not resident of other country I am a tax resident of the country/ies mentioned below

US Person Yes No

Particulars	Country (1)	Country (2)	Country (3)
Country/countries of Tax Residency			
Address in the jurisdiction for Tax Residence	Address Line 1		
	City/Town/Village		
	State		
	Zip/Post Code		
Tax Identification Number (TIN)/PAN/Functional equivalent Number			
TIN/PAN/Functional equivalent Number Issuing Country			
Validity of documentary evidence provided (Wherever applicable)	ddmmyyyy	ddmmyyyy	ddmmyyyy

I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete and hereby accept the same.

Signature / Thumb Impression* of Applicant (refer instructions)

10. DECLARATION BY APPLICANT* (Refer Sr no 7 of instructions)

I have read and understood the terms and conditions of the National Pension System. The information and documents furnished by me are true and correct, to the best of my knowledge. Any changes in the information furnished by me shall be informed to CRA / NPS Trust. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

Date:

d	d	m	m	y	y	y	y
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 Place

Signature/Thumb Impression* of Applicant (*LTI In case of males and RTI in case of females to be provided. Toe impression in case no hands)

11. DECLARATION BY EMPLOYER* (All Details are Mandatory)

Date of Joining

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

 Date of Retirement

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Employee Code/ID Non-mandatory if not available

CHO Registration Number CBO Registration Number

It is certified that _____ is employed with us and the details provided in this subscriber registration from including the address and employment details provided above are as per the service record of the employee maintained with us. It is further certified that he/she has read entry/entries have been read over to him/her by us and got confirmed by him/her.

Name of the Authorised Person <input type="text"/>	
Designation of the Authorised Person <input type="text"/>	
Date <input type="text"/>	
Place <input type="text"/>	
<input type="text"/>	
Signature of Authorised person	Rubber Stamp of the Employer

12. TO BE FILLED BY POP *

Receipt No. (17 digits)

POP Registration Number POP-SP Registration Number

Documents Received

Existing Customer: I/we hereby certify/confirm that Shri/Smt/Kum..... is an exiting KYC verified customer. The above applicant is having an operative Bank/ Demat/ Folio/ account (specify nature of the account) having account number /client ID maintained at branch/office. The KYC documents available with us for this customer/client matches the requirement for opening NPS account and are in compliance with PMLA Rules. I/We further confirm that the Savings Bank A/c of Shri/Smt/Kum is not a 'Basic Savings Bank Deposit Account(applicable in case of Bank PoP)

Name of the Authorised Person

Designation of the Authorised Person

Date

Place

Signature of Authorised person

Rubber Stamp of the Employer

ACKNOWLEDGEMENT

Name of the Subscriber :

Application Receipt Date

Stamp and Signature of PoP

Initial Contribution Amount:

Mode of Payment

Cheque/DD Debit Instruction Cash

INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

General Guidelines

(a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.

(b) Copies of documents submitted by the applicant should be self-attested.

(c) Applicant is advised to retain the acknowledgment slip signed/stamped by the PoP/PoP-SP office.

Sr. No	Item No	Item Details	Instructions																								
1	1	Option for PRAN Card and Kit	In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under:																								
			<table border="1"> <tr> <td>Account opening with Physical PRAN Kit (in ₹)</td> <td>Account opening with ePRAN Kit (in ₹)</td> </tr> <tr> <td>₹ 39.36 (Excludes applicable Charges)</td> <td>₹ 4.00 * (Excludes applicable taxes)</td> </tr> </table>	Account opening with Physical PRAN Kit (in ₹)	Account opening with ePRAN Kit (in ₹)	₹ 39.36 (Excludes applicable Charges)	₹ 4.00 * (Excludes applicable taxes)																				
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Father's Name, Mother's Name	(a) If the name has more than 30 digits, fill Annexure II for the same. (b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted.																										
		Politically Exposed Person	Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.																								
2	4	Proof of Identity and Address	If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the and Address submitted copy																								
3	5	Bank Details	For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing Applicant's Name, Bank Name, Bank Account Number and IFS Code.																								
4	6	Nomination Details	(a) If a subscriber has family at the time of making a nomination, the nomination shall be in favor of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favor of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour of one or more persons belonging to his family. (b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100.																								
5	7	Selection of Pension Fund (PF) & Investment Choice	The Asset class wise exposure limits that will now be applicable to subscribers under Tier I and Tier II are tabulated below : <table border="1"> <thead> <tr> <th colspan="2">TIER - I</th> <th colspan="2">TIER - II</th> </tr> <tr> <th>ASSET CLASS</th> <th>MAX. LIMIT</th> <th>ASSET CLASS</th> <th>MAX. LIMIT</th> </tr> </thead> <tbody> <tr> <td>ASSET CLASS G (GOVERNMENT SECURITIES)</td> <td>100%</td> <td>ASSET CLASS G (GOVERNMENT SECURITIES)</td> <td>100%</td> </tr> <tr> <td>ASSET CLASS C(CORPORATE BONDS)</td> <td>100%</td> <td>ASSET CLASS C(CORPORATE BONDS)</td> <td>100%</td> </tr> <tr> <td>ASSET CLASS E(EQUITY)</td> <td>75%</td> <td>ASSET CLASS E(EQUITY)</td> <td>100%</td> </tr> <tr> <td>ASSET CLASS A(ALTERNATE ASSETS)</td> <td>5%</td> <td></td> <td></td> </tr> </tbody> </table>	TIER - I		TIER - II		ASSET CLASS	MAX. LIMIT	ASSET CLASS	MAX. LIMIT	ASSET CLASS G (GOVERNMENT SECURITIES)	100%	ASSET CLASS G (GOVERNMENT SECURITIES)	100%	ASSET CLASS C(CORPORATE BONDS)	100%	ASSET CLASS C(CORPORATE BONDS)	100%	ASSET CLASS E(EQUITY)	75%	ASSET CLASS E(EQUITY)	100%	ASSET CLASS A(ALTERNATE ASSETS)	5%		
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6	8	FATCA & CRS Declaration	<p>Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India:</p> <ul style="list-style-type: none"> Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of what ever nationality, is also a resident for tax purpose in USA. Tax identification Number(TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number) In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided. In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form 																								
7	9	Tier II activation	Asset Class A is not available under Tier II. In case Subscriber has selected to activate Tier-II Account with Same Bank, Nominee and Investment details that of Tier-I whereas he/she has chosen allocation in Asset Class A for Tier-I account, the applicant would be required to submit the Annexure IV for Tier-II mentioning the asset allocations																								
8	10	Declaration / Signature by Applicant	In case the applicant is unable to Declaration / affixed signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp																								

Applicable CRA charges:	KFintech (Rs.)
Account Opening charges	₹ 39.36
Account Maintenance Charges (p.a.)	₹ 57.63
Charge per transaction	₹ 3.36

Annexure I - Print PRAN Card in Hindi (Fill the details in Devnagri script)

Applicant's First Name	
Middle Name	
Last Name	
Father/Mother's First Name	
Middle Name	
Last Name	

Annexure II - If characters of name exceeded the space provided on page 1 of the application form

Applicant's First Name	
Middle Name	
Last Name	
Father's First Name	
Middle Name	
Last Name	
Mother's First Name	
Middle Name	
Last Name	

Annexure III - Additional Nomination For Tier-I For Tier-II For both Tier-I & Tier-II

Percentage Share Nominee I Nominee II Nominee III Total should be equal to 100%

Nominee I	Nominee I - Name	F i r s t		M i d d l e	L a s t
	Relationship	<input type="text"/>		Age <input type="text"/>	Date of Birth (In case of Minor) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Name of Guardian <small>(nominee is a minor)</small>	F i r s t		M i d d l e	L a s t
Nominee II	Nominee II - Name	F i r s t		M i d d l e	L a s t
	Relationship	<input type="text"/>		Age <input type="text"/>	Date of Birth (In case of Minor) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Name of Guardian <small>(nominee is a minor)</small>	F i r s t		M i d d l e	L a s t
Nominee III	Nominee III - Name	F i r s t		M i d d l e	L a s t
	Relationship	<input type="text"/>		Age <input type="text"/>	Date of Birth (In case of Minor) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Name of Guardian <small>(nominee is a minor)</small>	F i r s t		M i d d l e	L a s t

Annexure IV - Activate Tier-II (with Different Bank/Nomination/Investment Details - tick and fill as applicable)

PAN * Copy of PAN to be attached

No change in Bank details Bank details for Tier-II are as under:

Account Type Saving A/c Current A/c

Bank A/c Number

Bank Name IFSC Code

No change in Nominee details Nominee details for Tier-II are as under:

Nominee Name	F i r s t		M i d d l e	L a s t
Relationship	<input type="text"/>		Age <input type="text"/>	Date of Birth (In case of Minor) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Name of Guardian <small>(nominee is a minor)</small>	F i r s t		M i d d l e	L a s t

In case you desire to nominate more than one person, fill Annexure III above

Investment details for Tier-II are as under:

Pension Fund* (Please Tick (✓) one)		Investment Choice (Please Tick (✓) one)			
<input type="checkbox"/> Aditya Birla Sunlife Pension Mgmt Ltd	<input type="checkbox"/> Axis Pension Fund Mgmt. Ltd.	Active Choice mention the % share in each asset class below			
<input type="checkbox"/> HDFC Pension Mgmt Co Ltd	<input type="checkbox"/> ICICI Prudential Pension Funds Mgmt Co. Ltd.	E (Upto 100%)	C (Upto 100%)	G (Upto 100%)	Total
<input type="checkbox"/> Kotak Mahindra Pension Fund Ltd	<input type="checkbox"/> LIC Pension Fund Ltd	% Equity	% Corp Bonds	%Govt Sec	100%
<input type="checkbox"/> MAX Life Pension Fund Mangement Ltd	<input type="checkbox"/> SBI Pension Funds Private Ltd	OR			
<input type="checkbox"/> Tata Pension Management Ltd	<input type="checkbox"/> UTI Retirement Solutions Ltd	<input type="checkbox"/> Auto Choice select one life cycle fund below			
		Conservative(LC25)	<input type="checkbox"/> Moderate (LC50)	<input type="checkbox"/> Aggressive(LC75)	

Name of the Applicant

Place

Date

Signature / Thumb Impression* of Applicant
(refer instructions)