

	COUNT OPENING INDIVIDUAL
NSE, BSE, MC	CX, NSDL / CDSL
Premium Account	C KYCKRADP IDCLIENT CODE

Explore opportunities and be part of India's incredible Journey



Experience Excellence with Farsight : Your Sherpa to Invest in India

This opportunity to invest in India is to embark upon a journey of financial prosperity with Farsight Group, a distinguished and unwavering financial powerhouse committed to pinnacle of investment services. Established in 1995, it stands proudly as a signature Broking House, with memberships in the NSE, BSE, MCX and DP of NSDL & CDSL.

Why India

Political stability, long term vision duly supported with Big ticket reforms and world scale infrastructure, demographic dividends have put India on the map of world's fastest growing economies. NIFTY and the Sensex are amongst the best performing indices truly capturing the resilience, depth and growing power of Indian Investors. This has culminated into higher GDP growth, stronger FDI and FII investment and the portfolio of any investor is incomplete without exposure on India.

Why Farsight?

A Legacy of Trust: With three decades of dedicated commitment, Farsight boasts of a perfect track record—ZERO client grievances. Our thrust to customer satisfaction is unparalleled. Experience of our customer's is excelled further by Kunjee – the Trading App, Insta Fund Transfer and dedicated e-Farsight portal.

Comprehensive Services:

- EQUITIES
- DERIVATIVES
- COMMODITIES
- IPO
- BONDS
- MUTUAL FUNDS

Our expert professionals can help in making application, getting registration and ensuring timely and regular compliances.

As Per SEBI Circular No. CIR/MIRSD/13/2013

ACCOUNT OPENING KIT

	Dated Dec 26, 2013		
		INDEX OF DOCUMENTS*	
S. No.	Name of Document	Brief Significance of the Document	Page No
	MANDATORY	DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES	
1.	Account Opening Form	A. CKYC form - Document captures the basic information about the constituent and instruction/check list. and FATCA and CRS Information.	1-9
		B. Document captures the additional information about the constituent relevant to trading account and instruction/check	
2.	Rights and Obligations (Trading & DP)	Document stating the Rights & Obligations of stock broker/trading member sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading)	As per separate booklet (As per format annexure 4, 5 & 6) as per SEBI
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Circular: CIR/ MIRSD/64/2016 dt. 12-07-2016
4.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	also available o website and on demand
5.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	10 -12
6.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) and depository services	13-14

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

7.	Letter of Authority	To enable the trading member to act upon the clauses mentioned in the letter	15
8.	Declaration	Declaration	
9.	Name Mismatch Self Declaration	Declaration	16
10.	Disclosure to Client	Disclosure to Client for Pro Trading.	16
11.	Ghoshna	Ghoshna for Hindi	
12.	Power of Attorney	Instructions for Demat Debit and Pledge Instruction (DDPI) towards Settlement Obligations and Pledging/Re-pledging of Securities	17

SEBI Registration No. : INZ000169935 Segment: NSE/BSE/MCX Dated: 23/03/2018

Depository Participants : IN-DP-579-2021 NSDL/CDSL Dated: 31/03/2021

* SOFT COPIES OF THE DOCUMENTS ARE AVAILABLE ON WWW.FARSIGHTSHARES.COM

Details of premium & simplified accounts special terms & conditions are also available on demand & on site.

	FOR OFFIC	E USE ONLY	
KRA/CKYC NO		Upload/D	ownload
Verified by		Bran	ch Code
UCC Code allotted to the Client		DP ID & CLIENT ID :	
	Documents verified	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
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Signature			
Account Opened by			Date :
Exchange activated & Mapped by	:		Date :
Rechecked by	:		Date :

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Name & Signature of the Authorised Signatory

Date

Seal/Stamp Farsight Securities Limited

ADDITIONAL INSTRUCTIONS / CHECK LIST FOR F&O / CURRENCY / COMMODITIES ACTIVATION

- 1. Additional documents in case of trading in derivatives segments illustrative list:
 - Copy of ITR Acknowledgement
 - Copy of Annual Accounts
 - In case of salary income Salary Slip, Copy of Form 16
 - Net worth certificate
 - Copy of demat account holding statement.
 - Bank account statement for last 6 months
 - Any other relevant documents substantiating ownership of assets.
 - · Self declaration with relevant supporting documents.
 - In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.
- 2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
- 3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For Individuals

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/subbroker's office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For Non-Individuals

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.
- 6. If in the opinion of the broker, financial resources, experience are inadequate, trading in any derivative product can be declined.

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FARSIGHT SECURITIES LIMITED

Trading Member NSE, BSE, MCX

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14	IN	CASE OF NRI'S /	FOREIGN	I NATIC	NALS						
	RBI Approval Reference Number RBI Approval (Date)										
15	G	JARDIAN DETAIL	LS (In case	the Sole	e Holder is a Mino	r) (Applicable for	Demat Acco	unt only):			
		ardian Name				/ / / /		•,			
	PA	N			Relationship of gua	ardian with minor					
16	FA	MILY DECLARAT	FION FOR	SAME	MOBILE NUMB	ER / EMAIL ID					
Plea	se	refer to the Trading/	DP account	s opene	d with you, for the	purpose of dealir	ng in NSE/BS	SE/MCX/NS	SDL/CI	DSL. We a	all belong
		family or having cor					0				J
MO	BILE	NUMBER :			COMMON EI	MAIL ID :					
l he	erek	y declare that the I	Mobile Num	nber or l	E-Mail ID or Both	as per KYC belo	ngs to 🛛	Self / 🗆 F	amily	*	
	Co	de/Client ID		Nam	٥	Relation	shin	Signatu	ire of F	amily Me	mhor's
	00			Indiii	6	Treation	Ship	Olghatu			
							* in cas	se of family	all det	ails need	to filling
		DHAR AUTHENTI									
	we hereby state that I/we have no objection in authenticating myself/ourselves with Aadhar based authentication system through										
	SDL e-Governance Infrastructure Limited / CDSL Ventures Limited and give my/our consent to provide my Aadhaar Number, OTP, emographic details or Biometric for Aadhaar based authentication. I/we also authorize NSDL e-Governance Infrastructure Limited /										
	emographic details or Biometric for Aadhaar based authentication. I/we also authorize NSDL e-Governance Infrastructure Limited / DSL Ventures Limited to share the authentication details with Farsight Securities Limited for the purpose of carrying Aadhaar										
		ication as required b								,, j	
18	S	ANDING INSTRU	JCTION							Yes	No
	1000	I/We authorise you					unt.				
		Account to be ope									
	3.	SMS Alert facility : the mobile number					A). Ensure th	nat			
					(2) (3)	ronnj					
		a)			e / First Holder						
		b)			cond Holder						
		c)		Thi	rd Holder						
	4.	I/We request you t	to enable m	iy/our D	P account for Aut	to Pledge confirm	ation.				
	5.	I wish to avail facil	lity for Interr	net Trad	ing and Wireless	Technology					
	6.	I/We would like to	share the e	email ID	with the RTA						
	7.	Mode of Receiving	g Contract	Note, S	Statement of Acc	count,	Physical	Form	Ele	ctronic Fo	orm
		Margin Reports, Sta (tick any one)	andard KYC	Docume	ents & Other Docu	ments				Note 4 and ensure	
	0	I/We would like to	racaiva tha	Annual	Poport		Physical	Form		ctronic Fo	orm
	9.	Whether you wish									
		Beneficial Owner & Commodity Exchar									
		on Stock Exchange									
19	Δ	DITIONAL DETA				<u>.</u>					
13	•	Number of years of		nt/Tradir	na Experience:						
	•	Employee of Farsi			• 1	Yes No. If Ye	es provide de	etails			
		Relative of an Em							ide do	taile	
	-			arsiyiit							
	٠	Any other informat	tion								
20	G	ST DETAILS				0.07.					
	•	GST Number			•	GST Implementa	ation Locatic	n			

FARSIGHT SECURITIES LIMITED

21	T	RADING PR	EFERENC	ES										
*Ple	ease	e sign in the re	elevant boxe	es where you wish to tra	de. The s	egment not chosen sho	uld be stru	ck off by the cli	ent.					
				NSE		BSE			MCX					
CA	SH		ý	<u>(3.1)</u>		2 <u>n</u> (3.2)		(3.3)						
F&	0		ý	(3.4)		(3.5)		(3.6)						
CU	RRI	ECNY	ý	(3.7)		(3.8)		(3.9)						
MU	ти	AL FUND	ý	(3.10)										
			wants to trade	on any new segment/new e	exchange, s	separate authorization/letter	should be ta	aken from the clien	t by the stock broker					
22	P/	AST ACTIO												
	•	applicant/co	nstituent or		whole tin	aken by SEBI/ Stock e ne directors/authorized pecify Details								
23	D	EALINGS T	HROUGH	OTHER STOCK BRO	KERS									
	•	Whether de	aling with an	y other stock broker (in o	case deal	ing with multiple stock br	okers, pro	vide details of a	ll)					
		Stock Broke	er Name :											
		Client Code	t Code:Exchange:											
			Nataila of dianutas (duas nanding from/to quah atag) (hrokar u											
	•	Details of disputes/dues pending from/to such stock broker :												
24	IN	TRODUCER DETAILS (OPTIONAL)												
	•													
	•					mployee 🗌 Other, ple								
	•					Signature of the Intr								
05	•					Signature of the Intr								
25 SM3		NLY FOR CE lerts Facility	an izzani in pranta tanin'na tu	1 CLIENTS . + 9 1										
		to Terms &		ory , if you are giving P	ower of	Attorney (POA)]								
Con	ditic	ons given as					1.0		🗌 Yes 🗌 No					
		exure 2.4	*			to avail of this facility,		. ,						
Т		sactions Jsing				Nobile number registere Conditions prescribed by			🗆 Yes 🗌 No					
	cure	ed Texting y (TRUST)	I/We wish			member IDs under my/o			D registered for					
		to Terms	TRUST	ivehenge Neme / ID	Close	wing Mombor Nomo	Clear	ing Momber ID	(Ontional)					
		onditions	SLOCK E	xchange Name / ID	Clea	aring Member Name	Clear	ing Member ID	(Optional)					
AI	nne	xure - 2.6												
	Ę	To register for easi, please visit our website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.												
Pla	ce:							Date:						
Sigr	natu	ure of the Clie	nt 🛵 🛛 (4)	Only for CDSL DP C	lient	Only for CDSL DP (Client	Only for CD	SL DP Client					
(On	ly fo	or CDSL DP C	Client)	Sole/First Holder Sign	ature	Second Holder Sign	ature	Third Hold	er Signature					

Nomination Form [Annexure A to SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts]

17A/5 GURU NEW	'ARSIG 5, TRIV JDWAR DELHI	ENI A R -110	PL OA 005,	AZA D, K , PH:	, 3 rd ARO 0114	FLO 9L BA 50444	OR AGH						(To)	be							MIN l app		ON g singly o	orjo	intly	')							
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I	/We wis	h to 1	nak	e a n	omina	ation.	[As p	oer	details	give	en belov	v]																					
N	ominati	on D	etai	ils																													
	We wish my/ our			a no	minat	ion aı	nd do	he	reby n	omin	ate the	following	g pers	50	on(s) v	vho	sha	ıll r	ecei	ive	all t	he as	sets held	l in 1	my/	our	ac	coun	t ir	the	ev	ent	
	ominatio minees i					pto tl	ıree			Details of 1 st Nominee Details of 2 nd Nominee												Det	ai	ls of (3 rd	Noi	nir	nee					
1	Name	of tl	1e n	omir	nee(s)	(Mr.	/Ms.)																									
2	Share each		of		Equal [If not e					%													%									%	0
	Nomi	nee		p	lease sp ercenta	pecify		Ī	A	ny oo	ran	isfer	rrec	d to	the	e firs	t non	ninee me	ntio	ned	in th	ef	òrm.										
3	Relati (If Aı		nip '	With	the A	Appli	cant			Any odd lot after division shall be transfer																							
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	City / State &			y:																													
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5	Mobil nomir			phon	e No	. of																-											
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7	Nomi [Please and pr	e tic	k ar	iy or	e of	follo																											
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Sr. N	l Jos. 8-14	sho	uld	be fil	lled o	nly if	non	nin	ee(s) is	a m	inor:					L																	
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10	Addre	ess of	fGı	ıardi	an(s)																												

				1	r	
	City / Place: State & Country:					
	PIN Code					
11	Mobile/ Telephone no. of Guardian #					
12	Email ID of Guardian #					
13	Relationship of Guardian with nominee					
14	Guardian Identification details # [Please tick any one of following and provide details of same] Photograph & Signature PAN Account no. Proof of Identity Demat Account ID					
		Name(s) of ho	lder(s)		Signature(s)	of holder*
Sol	e / First Holder (Mr./Ms.)					
Se	econd Holder (Mr./Ms.)					
TI	hird Holder (Mr./Ms.)					

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature # Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note:

1._

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Name and Signature of Holder(s)*
2.	3

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Declaration Form for opting out of nomination [Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

То	Date		D	D	Μ	M	Υ	Y	Y	Υ
M/s. FARSIGHT SECURITIES LTD. 17A/55, TRIVENI PLAZA, 3 rd FLOOR GURUDWARA ROAD, KAROL BAGH, NEW DELHI-110005, PH:011-45044439,41 Website: <u>www.farsightshares.com</u>							<u> </u>		<u> </u>	
UCC/DP ID										
Client ID (only for Demat account)										
Sole/First Holder Name					·					
Second Holder Name										
Third Holder Name										
I / We hereby confirm that I / We do not w	ish to	appoir	it an	y nom	ninee(s) in my	/ oui	[.] tradi	ng / d	emat
account and understand the issues involved	d in no	n-appo	ointn	nent o	of nomi	inee(s)	and f	urthe	r are a	ware
that in case of death of all the account ho	older(s)	, my /	our	legal	heirs v	vould	need	to sub	omit a	ll the
requisite documents / information for clai	ming c	of asse	ts he	eld in	my / c	our tra	ding /	dema	at acc	ount,
which may also include documents issued	by Cou	rt or o	ther	such	compe	etent a	uthor	ity, ba	ised o	n the
value of assets held in the trading / demat	accour	nt.								
Name and	Signa	ature	of H	older	(s)*					
12					3					

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Declaration

The rules and regulations of the Depository and Depository participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we maybe held liable for it. In case of nonresident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we further confirm having read/been explained and understood the contents of Right and obligation of the beneficial owner and depository participant/stock brokers & clients, risk Disclosure document, Policies & procedures, schedule of charges/Tariff sheet and acknowledge the receipt of copy of the same. I/we do hereby agree to be bound by such provision as outlined in these documents. I/we have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

	Name(s) of holder(s)	Signature(s) of holder	
Sole / First Holder / Guardian (in case sole holder is minor)		(5)	be used
Second Holder		1/20	Sign to
Third Holder		<u>k</u> o	S

Notes:

- 1. All communication shall be sent at the address of the Sole / First holder only.
- 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination are as below:
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
 - VIII. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
 - IX. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
 - X. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
 - XI. DP ID and client ID shall be provided where demat details is required to be provided.
- 4. For receiving Statement of Account in electronic Form:
 (i) Client must ensure the confidentiality of Password of the email account. (ii) Client must promptly inform the participant if the email address has changed. (iii) Client may opt to terminate this facility by giving 10 days prior notice. Similarly participant may terminate this facility by giving 10 days prior notice.
- 5. Strike off whichever is not applicable.

1. Refusal of orders For Penny Stock(s):

Farsight shall have the absolute discretion to accept, refuse or partially accept any buy or sell order for execution from a client in respect of penny stock, illiquid stocks having low liquidity, illiquid "options", far month "option" writing of "options", stocks in GSM/Z, T, TS, S, B, BE, IL, BT, EQ Category and any other contracts which as per the perception of stock Broker are extremely volatile or subject to market manipulation.

2. Setting Up Client's Exposure Limits:

The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange or Clearing Corporation or SEBI from time to time. The client is aware and agrees that the stock broker may need to vary or reduce or impose new limits urgently on the basis of the stock broker's risk perception, risk profile of the client and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/ SEBI directions/limits (such as broker level/ market level limits in security specific / volume specific exposures etc.).

In case, margin is kept in through new system of pledge and re-pledge of securities, Broker would value these securities after applying such haircut as it deems appropriate which would not be less than 20% in approved securities. Similarly, for providing exposure to client, Broker can re-pledge such securities in favor of clearing member/ Clearing Corporation or Bank under margin re-pledge system. Presently, the exchanges are requiring securities/ cash margin in equal ratio and total margin exposure provided by the clearing corporation is 90% of the total value. Therefore, the exposure will be allowed as permitted from time to time by clearing corporation / exchanges.

3. Applicable Brokerage Rate:

Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration of the client and/or subsequently through a written communication between client and Farsight. The rate of Brokerage shall not exceed the maximum brokerage permissible under Exchange bye-laws or Rs. 20/- per contract note whichever is lower. The brokerage is exclusive of various charges payable to Exchange/SEBI/Govt.Authorities/DP/delayed payment charges, penalty arises solely because of client etc. such details are available on website.

The slab rates of brokerage fixed by FARSIGHT are function of the quality and cost of services provided to the client and the volume and revenue expected from an account. It shall be reviewed by the FARSIGHT from time to time and may be increased with prospective effect at a notice of 15 Days sent to the E-mail address or postal address of the client registered with FARSIGHT.

4. Imposition of penalty/delayed payment charges by either party, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws)

As per prevalent regulations, no shortage in upfront margin / peak margin is allowed. However, there may be cases where shortage in margin arises because of visions solely attributed to clients. In such case, penalty levied by the exchanges will be debited to the client. In addition, the interest on margin blocked of the broker by clearing corporation will be charged to the client at 21% p.a. Broker will not pay any interest on unutilized margin or balance in trading account of the clients. In case of repeated violation by client, additional penalty (as disclosed on website) will be levied by the Exchanges.

All fines/penalties and charges levied upon the Client due to its acts / deeds or transactions will be recovered by the Stock Broker directly from the client's account.

5. The right to sell clients' securities re-pledged as margin or close clients' positions, without giving notice to the client, on account of non-payment of client's dues

FARSIGHT shall have right to sell client's securities, both unpaid as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/failure of the client to meet the pay-in obligations and /or there is a failure of the client to bring additional margin to cover the increase in risk in the dynamic market conditions.

a) Unpaid Securities in Capital Market:-

(i) In case any shares/securities purchased by the client remained unpaid on the date of pay in these will be transferred compulsorily to client account but marked as pledge to CUSPA account.Farsight may follow the LIFO or average method of liquidation for sale of these securities without any further notice to the client.

b. The Margin Shortfall in F&O:-

- i Positions of the client may be closed out to the extent of margin shortfall on the T+1 basis.
- ii While computing margin shortfall, Value of unapproved securities shall not be considered.
- iii As per the current Exchange requirements, the member Broker is required to maintain a 50:50 ratio between cash and collaterals margin deposited with the Exchange. FARSIGHT shall therefore have the prerogative to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margins shortfall and close out the F&O Position wherever it finds the deviation. However, sales made in capital market segment shall not be considered while closing F&O Positions on T+1 basis due to margin shortfall.

c. Intra-day Positions:-

FARSIGHT shall have right to close out any intra-day Positions taken by the client after a defined "Cut-off" time (Presently 20 minutes before close of market).

d. General

- i While selling the securities/ closing the clients positions, FARSIGHT may take into account the sales made by the client, Positions closed by the client or collections received from the client till cut-off time (Presently 12.00 pm).
- ii While selling the Securities/ Closing the clients positions, FARSIGHT may not take into consideration cheques/Bank Drafts/ Pay orders deposited by the client with FARSIGHT until clear proceeds of such instruments are received by FARSIGHT in its bank account.
- iii. FARSIGHT shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. FARSIGHT shall therefore not be under any obligation to compensate/or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client.
- iv The client would make good the short fall, if any post liquidation, immediately. But refund such excess to clients, if so demanded by clients, by next working day.

6. Shortages in obligations arising out of internal netting of trades

The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- a. The securities delivered short are purchased from market in code of defaulting clients on T+1 day which is the Auction Day on Exchange, and the purchase consideration (inclusive of all statutory taxes, levies and penalty) is debited to the short delivering seller client.
- b. If securities cannot be purchased from market due to any reason, the short delivering seller is debited at the square up rate as informed by the NSE on T+1 day basis and buyer will be given credit. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/ credits shall be as per Exchange Debits and Credits after deducting exchange penalties.
- c. In case of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure / record date, would be compulsory closed out at settlement price by the exchange.

7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

The stock broker may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin / securities or the order being outside the limits set by stock broker/exchange/SEBI and any other reasons which the stock broker may deem appropriate in the circumstances. The stock broker is entitled to disable / freeze the account or trading facility / any other service if, in the opinion of the stock broker, the client has acted in contradiction of this agreement or / evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the stock broker so apprehends.

Any profit/loss arising out of these transactions shall be at the risk of and borne by the client.

8. Temporarily suspending / deregistering or closing a client's account at the client's request-

Client may instruct the member to close out the account or suspend the trading through client's account for the period as specified in the request in written and duly signed by him. The stock broker can with-hold the payouts of client and suspend his trading account due to his surveillance action or judicial or / and regulatory order/action requiring client suspension. FARSIGHT may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances:-

- Where the client is inactive for a period as specified by the exchanges from time to time. The account can be reactivated and can be allowed trading once by compliance requirement and fresh c-KYC is done.
- Physical contract notes are received back undelivered due to reasons like "no such person", "addressee left", refusal to accept mails, POD's signed by the third persons, signature mismatch on POD's or other reasons which may create suspicion.
- ECN failed (Bounced email) on more than 3 instances until client submits a valid explanation.
- · Client can also send an email from designated mail id for reactive request.

Client Acceptance of Policies and Procedures stated hereinabove:

I/We have fully understood the above mentioned policies and procedures and do hereby signify my consent for the same.

(9)

	TA	RIFF SI	HEET			
Client Code :		_Client	Name : _			
CAPITAL MARKET SEGMENT						
NSE / BSE / MCX						
	in %age terms			Minimum ` (per share)		
Intraday						
Delivery						
Trade to Trade						
FUTURES & C	OPTIONS SEGMENT					
	FUTL	JRES			OPTIONS	
	in %age terms	Ν	linimum	` (per lot)	` Per Lot	
NSE						
BSE						
МСХ						
CURRENCY D	ERIVATIVES SEGMENT					
	in %age terms Minimum ` (pe		` (per lot)	` Per Lot		
NSE						
BSE						
МСХ						
MUTUAL FUN	DS SEGMENT					
		NS	SE			
	in %age terms			Minim	um ` (per unit)	
* Transaction Charg	ges, Service Tax/GST, STT, Stamp Duty	y, SEBI F	ee, Other (Govt. Levies are e	extra.One Time	
Account Opening Charges (Rs.) Minimum Brokerage : Rs. 20\- per Contract note						
Technology Charge	s maximum 0.1% subject to volume.					
Recurring Charges : Monthly Quarterly Half Yearly						
Note : Dispatch of Contract Note : Through Ordinary Post Free. Through Courier Rs. 50/- In case of previous years duplicate printout Rs. 200/- per statement.						
	Jouro aupinouto printout 113. 2001- per t	olutomon			<i>σ</i> ~~~υ <u>\'/</u>	

CLEARING MEMBER DETAILS

NSE Equity Derivatives **FARSIGHT SECURITIES LTD.** - SEBI Regn. No.: INZ000169935 Regd. Office: 17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi–110005

NSE Currency Derivatives & MCX Commodity Derivative Markets **SMC GLOBAL SECURITIES LTD.** | SEBI Regn. No.: INZ000199438 Regd. Office: 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005



DP TARIFF FOR NSDL/CDSL ACCOUNT FARSIGHT SECURITIES LTD.

Depository Participant : NSDL, DP - ID - IN301766 CDSL, DP - ID - 12056300 SEBI Regn No. (NSDL, CDSL) : IN-DP-579-2021

WE REWARD YOUR INVESTMENTS

17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi–110005 EAPBX: 011-4504-4444 (30 Lines) Fax: 011-45044434 | E-mail : demat@farsightshares.com

SI. No.	PARTICULARS	CORPORATE	INDIVIDUAL/HUF				
JI. NU.	PARTICULARS	CORPORATE	Α	В	С	BSDA	
1.	Account Opening	NIL	NIL	NIL	NIL	NIL	
2.	(i) Annual Maintenance	`500/- Per Annum	`1000/- Per Annum	`300/- Per Annum	1st Year `999 Subsequent year NIL	Nil (Value of holding upto `50,000/-) `100/- p.a. (Value of holding from `50,001/- to `200,000/-)	
	(ii) Maintenance Charges By Depositories	`500/- Per Annum	NIL	NIL	NIL	NIL	
	Transaction Charges						
3.	Delivery In-coming	NIL	NIL				
з.	Delivery Out-going	`18/-	`6/-	`18/-	`18/-	`30/-	
	(through Speed- E, POA)	per Trxn	per Trxn	per Trxn	per Trxn	per Trxn	
4.	KRA/CKYC Modification	`250/- per modification `100/- per modification					
5.	Dematerialisation	`20/- Plus `3/- per Certificate (Postage Charges `50/- Extra)					
6.	Rematerialisation	`20/- per certificate or `20/- for every certificate every 100 securities which ever is higher (Postage Charges `50/- Extra)					
7.	Instruction Booklet	`25/- for 10 Leaves (Postage charges `50/- extra)					
8.	Pledge Creation/ Closure/ Invocation	`50/- per Transaction					
 N.B.: Any other services not specified above shall be charged extra. Rejection charges on failure of instruction `50/ per instruction shall time. Charges for transaction value from `5 to 20 lac `50/- and transaction value above 20 lac `80/- per Instruction. 							

- DP reserves the right not to execute the delivery instruction in case service charges are outstanding.
 - Client will maintain minimum 200/- as an advance payment which will be adjusted against future bills.
- `20/- per page for holding / transaction / financial accounts for extra statements.
- In case the value of the holdings in the BSDA account exceeds the prescribed criteria at any point of time; the regular tariffapplicable to non BSDA accounts would be charged from that date onwards.
- The Annual Maintenance Charges are levied in advance at the beginning of the billing cycle.
- Margin Pledge transactions charge `50/- per instruction will be charged in all scheme.
- Please Place my /our Account under Scheme

А	/	В	1
С	/	BSDA	

Lo-	Third holder	Received Copy
		<u>(8.1)</u>

Client(s) Signature

First Holder For Farsight Securities Ltd

`50/- per dispatch.

HOLDER'S SIGNATURES

Second Holder

(Authorised Signatory)

(8)

be charged.

`50/- per communication / dispatch.

Interest @ 1.5% p.m. will be charged.

The renewal charges will be `100/-.

Client Master Charge `50/- Per Request.

Physical DIS execution charges `10/- per slip extra.

Participants discretion after giving 30 days notice.

dispatch to the Client for removal of objection.

Non - execution of delivery instruction due to any problem / error

Charges / Services standards are subject to revision at Depository

In case of Demat rejection postage shall be charged @ 50/- for

In case of Non-Payment of the bill within 30 days from the date of bill,

The depository services are liable to be discontinued on such default.

Statements of accounts will be mailed by ordinary post only, at free of

cost. Statements can be provided by courier with extra charges of

Taxes and other government levies extra as applicable from time to

Amount charged by NSDL/CDSL for CAS will be charge extra.

VOLUNTARY

Date :

To, Farsight Securities Ltd. 17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi–110005 EAPBX: 011-4504-4444 (30 Lines) Fax: 011-45044434 E-mail : contactus@farsightshares.com

Demat Client ID :

Sub : Letter of Authority - NSE / BSE / MCX (All Segment)

I/We am/are dealing in securities with you at NSE/BSE/MCX Capital Market Segment, Derivatives Segment, Commodities Segment and Currency Segment. In order to facilitate ease of operations, we authorize you as under:

1. Running Account

We authorize you to settle our running account, interm of sebi/exchange regulations or modification thereof and client can change the frequency of settlement of running account at any time and can revoke.

Quarterly

2. Telephonic Conversation & Verbal Order:

☐ Monthly

I/We request you to consider my/our oral or telephonic instructions for order placing/order modification /order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you. I/We authorize you to record the telephonic conversations, if you so require. I / We understand the risk associated with verbal orders and accept the same. In case of any confusion, however the day end confirmation sent by exchange or broker will be final, if not disputed on the same day.

3. Electronic On-Line Trading:

Trading in exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control ofyou and may result in delay in processing ornot processing buy or sell orders either in part or in full. I agree that I shall be fully liable and responsible for any such problemsglitch. The sauda or position shown by Exchange main ID - terminal or as uploaded by Exchanges in the evening in obligation file would be deemed to be final.

4. Fines & Penalties:

All fines/penalties and changes levied upon you by exchanges/regulator due to my acts / deeds or transactions may be recovered by you from my account subject to latest regulations on shortage of initial and peak margin where penalty will be borne by client only if it happened due to client.

- 5. I/We have a trading as well as depository relationship with Farsight Group. Please debit the charges relating to depository services and courier charges for sending physical documents [including my family members] to my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account/pay adequate advance fee.
- 6. I further authorize Mr./Ms.____S/0/D/o____Age____R/o____who is my_[Relation) to operate and perform necessary act in relation to this account such as purchase/sale signing confirmation to accept Contract Note documents from Farsight Securities Ltd. He/She is further authorized to make, receive and accept telephone calls relating to operation in this account.
- 7. SMS/e-mail:

My email id is*____

has been created by me and not by someone else.

The guidelines on ECN have been read and understood by me.

<u>(9)</u>

* Strike off the clauses not relevant to you.

Thanking you,

Yours faithfully,

Client Signature

Place : _____

	DECLARATION	
	e trading account is to be mapped with j	joint bank/DP account)
Dear Sir,	unt and a	h. Oh
This is with reference to opening of trading account with Farsight Securities Ltd.	bunt code	_by Sh
1. The bank account No.	with	(name of bank)
proposed to be linked to the above mentio	ned trading account is in the joint nam	(name of bank) es of
as the first holder,	as second	holder and
2. The DP account No.	with	holder and(name of DP) e ofas the
proposed to be linked to the above mention	ned trading account is in the joint name	e otas the as third holder.
first holder3. We hereby confirm that we have no obje	as second holder and	g of the said bank/DP account with the above
mentioned trading account. We also confi	rm that transfer of funds/securities sha ding account. We joint holders will not	all be effected to and from the above mentioned have any claim with respect to these transfer or
Signature of Client	Second Holder	Third holder
Self-Declaration with	reference to name in PAN	and other documents
account opening form to Farsight securities lin card (copy of which was given to Farsight secu documents. With regards to the same, I underta (name appearing on pan card) am/are one & th account in the name appearing on Pan card.	nited. I understand that there is a misr rities limited along with account opening ake to state that I,	ight securities limited to open my trading/demat ny name in my pan , Income tax web-site ,bank count. r Third holder es Ltd. t Triveni Plaza, Gurudwara Road,
For Farsight Securities Ltd.		<u></u>
(Authorized Signatory)	(Client Signature)
	घोषणा	
(सीएम/एफओ/सीडीएस) का खाता खोला हस्ताक्षर किया है जोकि अंग्रेजी भाषा में है। र से सहमत हूँ। मुझे के वाई सी. फॉर्म की कॉर्प और अन्य स्वैच्छिक (voluntary) डाक्यूमेंट की	है। उसके लिए एकाउन्ट ओपनिंग नुझे इसका हिन्दी में मतलब समझा भी, अनुच्छेद 4,5,6 एवं DP टैरिफ	हूँ मैंने फारसाईट ग्रुप में अपना शेयर ट्रेडिंग फार्म (के.वाई.सी.) और विभिन्न कागजातों पर दिया गया है। मैं फारसाईट ग्रुप के नियम व शर्तों चार्ट, PMLA (प्रिवेंशन ऑफ़ मनी लॉन्डरिंग) शीट ल गई है।
<u>First Holder</u>	Second Holder	Third holder
Elist Holder		

16

I hird holder

SEBI/HO/MIRSD/DoP/P/CIR/2022/44

'Demat Debit and Pledge Instruction' (DDPI) for transfer of Securities towards deliveries /Settlement Obligations and pledging/re-pledging of securities in favour of Farsight Securities Limited DPID - IN301766 and 12056300 SEBI single registration IN- DP-579-2021.

Annexure-A

Demat Debit and Pledge Instruction

S.No.	Purpose	Signature of Client*
1.	Transfer of securities held in the beneficial	
	owner accounts of the client towards Stock	
	Exchange related deliveries / settlement	
	obligations arising out of trades executed by	
	clients on the Stock Exchange through the	
	same stock broker	
2.	Pledging / re-pledging of securities in favour of	
	trading member (TM) / clearing member (CM)	
	for the purpose of meeting margin	
	requirements of the clients in connection with	
	the trades executed by the clients on the	
	Stock Exchange.	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	
4.	Tendering shares in open offers through Stock Exchange platforms	

Note : This is required to be stamped as Power of Attorney and to be signed by all joint holders.

This is valid for transfer of shares for transactions executed in Farsight only.

Name:

•

Client ID:

Place:

Date:



Explore INVESTMENTOPTIONS Stocks IPO F&O Mutual Funds Intraday Trading US stocks

Commodities

Start your Investment journey with **FARSIGHT**

Proven Leadership: The Group has been promoted by **Dr. Naresh Maheshwari**, a financial luminary with a standing of 30 years. He brings unparalleled expertise, having served as the former President of ANMI, CPAI and DPAI (the National level bodies of Indian Stock Brokers, Custodians and Depository Participants). **Dr. Maheshwari** is a prolific commentator on capital market and economic issues and has chaired the ASIA Region of the International Forum for Investor Education (IFIE), showcasing India's leadership on the global stage. His leadership in the Asia Securities Forum (ASF) underscores his dedication to shaping the global capital market.

Debt Market/Bonds

For a deeper dive into the world of financial excellence, visit our website at www.farsightshares.com. Join us in building a long and prosperous professional relationship.

9810011589, 7678669920 In C D 0 F

] chairman@farsightshares.com

ETF



www.farsightshares.com

FARSIGHT : GLORIOUS MOMENTS



Dr. NC Maheshwari, Chairman-Farsight Securities Ltd, Member core team - 18th Asia Securities Forum



FARSIGHT SECURITIES LIMITED

MEMBER NSE, BSE, MCX **Depository Paritcipant : NSDL, CDSL**

SEBI REGN. NO .: INZ000169935 IN-DP-579-2021

DATE: 23/03/2018 31/03/2021

Compliance Officer's Details

Name

 \bigcirc

: Pawan Joshi Name E-mail Id : compliance@farsightshares.com Note: 1. Established in 1996 and registered with SEBI vide Certificate No.: **CEO's Details**

- INB230853732 Dated-13-03-1996 For NSE 2. NSDL DP activated in 2000 vide SEBI registration No.: IN-NSDL-148-2000 in
 - Year 2000
- 17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi

For any grievance/dispute please contact FARSIGHT SECURITIES LIMITED at the above address or email id ig@farsightshares.com. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name National Stock Exchange of India Ltd. ignse@nse.co.in Bombay Stock Exchange Ltd.

+91 9311522003

+91 8595829968

: Manju Maheshwari

E-mail Id : coo@farsightshares.com

E-mail ID iscdelhi@bseindia.com

Phone No. 011-23344313 Toll Free : 1800 22 0058 (Toll Free) 011-41510481

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customercare@farsightshares.com

🕀 www.farsightshares.com